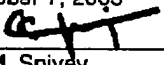


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Date: October 7, 2003


Christine M. Spivey**PATENT**
36856.833**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | |
|---------------------------------------------------------------------|--------------------------|
| Applicant: Hidefumi NAKATA | Art Unit: 2815 |
| Serial No.: 09/989,962 | |
| Filed: November 21, 2001 | Examiner: J. Diaz |
| Title: MIM CAPACITOR AND MANUFACTURING METHOD THEREFOR | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of two (2) references cited in the enclosed Examination Report issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Japanese Examination Report from the corresponding Japanese Patent Application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

U.S. Serial No. 09/989,962
October 7, 2003
Page 2 of 2

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: October 7, 2003



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